



Release Notes

Compliance Suite

(Feature-Activated)
**For SharePoint 2013/SharePoint 2016
and SharePoint 2019**

Version 4.14.0
December 2020

Title: *Compliance Suite (Feature-Activated) V4.14.0 Release Notes*

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Preface

Gimmel delivers market-leading content governance and compliant records solutions built on Microsoft® SharePoint®. Gimmel solutions drive user adoption and simplify information access by making information lifecycle management of content simple and transparent, ensuring consistent compliance and proactive litigation readiness enterprise-wide while lowering costs.

Gimmel products are a collection of SharePoint-native components that drive consistency in managing governance and compliance of information policies, metadata, and record routing rules to support your organization's long-term content or records management strategy. The products are easy to implement into a SharePoint environment because native features are extended from within SharePoint. User adoption is rapid because individuals are no longer required to work outside their trusted SharePoint environment to ensure control of enterprise content.

The Compliance Suite is a series of features that extend SharePoint's Records Center to give your organization a reliable and centralized repository for collaboration and records management that is compliant with both the Baseline and Classified standards of the Department of Defense (DoD) 5015.2 [Records Management Program](#). Classified Records is a separate add-on module that requires Compliance Suite to operate.

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Release Announcement

Gimmel Compliance Suite 4.14.0 Release Is Now Available!

Gimmel is pleased to announce the General Availability of Compliance Suite (Cs) 4.14.0 release for SharePoint 2013/SharePoint 2016/SharePoint 2019.

See the Compliance Suite product documentation for further information specific to the release. Licensed users can download the software from the [Gimmel Software Downloads site](#). Product documentation is located at <http://docs.gimmel.com>.

System Requirements

This section describes the software and hardware necessary to install and run Gimmel Compliance Suite (Cs).

Software

Compliance Suite (Feature Activated) requires the following software:

- SharePoint Server 2013 (Standard or Enterprise Edition) with Service Pack 1, or
- SharePoint Server 2016 (Standard or Enterprise Edition) with Service Pack 1, or
- SharePoint Server 2019 (Standard or Enterprise Edition) with Service Pack 1
- Microsoft .NET Framework 4.6 or higher installed
<https://www.microsoft.com/enus/download/details.aspx?id=48130> • One of the following authentication methods: NTLM, Kerberos, or ADFS
- The following Internet browsers:
 - Latest version of Microsoft Edge or
 - Google Chrome

Note

The File Plan Builder interface should minimally render at a resolution of 1280 x 1024.

New Installations

This version supports new installations on SharePoint 2013, SharePoint 2016, and SharePoint 2019 if the software and hardware requirements listed in this section are met.

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Upgrades to Cs 4.14.0

- For SharePoint 2013, Compliance Suite V4.14.0 can be upgraded from Compliance Suite V4.13.1.
- For SharePoint 2016, Compliance Suite V4.14.0 can be upgraded from Compliance Suite V4.13.1.
- For SharePoint 2019, Compliance Suite V4.14.0 can be upgraded from Compliance Suite V4.13.1.

For more information, see the *Compliance Suite Upgrade Guide V4.14.0*.

Interoperability

This version of the software is compatible with the latest versions of Gimmel software that are available for SharePoint 2013, SharePoint 2016, and SharePoint 2019.

Hardware

Gimmel software products are deployed on an existing or new SharePoint environment leveraging existing or planned infrastructure investments. No additional hardware is required. A Microsoft TechNet article lists the minimum software requirements to install and run Microsoft SharePoint Server [2013](#).

Changes in this Release

Compliance Suite V4.14.0 includes the following changes:

- Support for SharePoint 2019 (FA).

Bug Fixes

The following fixes were made for Compliance Suite V4.14.0:

- File Plan Instantiation Logging Entries Blank Screen.
- Bulk Task Processing Loading Issue.
- As-Of Reporting Blank Screen.
- Record Due for Vital Review and Reviewed Vital Records Screens Loading Issue.
- Due for Cutoff and Review for Cutoff Screens Loading Issue.
- Add/Run Global Hold Screen Blank.
- File Plan Builder All Nodes Report Fails.
- Period Management Settings Default Values are Blank.

Known Issues

The following table provides an overview of known issues in the 4.14.0 release of Compliance Suite:

ID	Description	Workaround	Area Path
	<p>User receives the following error when performing an Import, due to a limitation with MVC and the number of items it will convert for incoming requests:</p> <p><i>"The JSON request was too large to be deserialized."</i></p>	<ol style="list-style-type: none"> 1. Access the File Plan Builder web.config file. 2. Edit the following key by increasing the value size: <pre data-bbox="609 703 1128 793"><add key="aspnet:MaxJsonDeserializemembers" value="100000" /></pre> <p>Note: If you do not find this key in the web.config file, you must add it manually to the 'appsettings' section of the file.</p> 	File Plan Builder
	<p>When using Internet Explorer 11 to access File Plan Builder, the application interface does not display correctly.</p>	<p>Disable (turn off) the "Display Intranet sites in Compatibility View" option on the Compatibility View Settings dialog in Intranet Explorer 11.</p>	File Plan Builder
	<p>Using a system account may produce errors</p>	<p>Performing steps in the new Association Templates feature when logged in with a system account may produce undesired results. Use a non-system account with appropriate permissions to perform steps.</p>	File Plan Builder

	File Plan Builder Installation	File Plan Builder must be installed on the same server as Central Administration.	File Plan Builder
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ID	Description	Workaround	Area Path
	The Gimmel Compliance Suite - Restricted Records Cleanup Timer Job is disabled by default.	This job recalculates and reapplies permissions to all items where an access-constrained column is set. It must be run manually and only if users have been added or removed from security groups used in access rules. It is disabled by default for performance reasons.	Records Relationship
	Referential Integrity Synchronization Timer Job	The Gimmel Compliance Suite - Referential Integrity Synchronization Timer Job is disabled by default and must be run manually immediately after running a search crawl. It removes any relationships where at least one end of the relationship cannot be found by document ID. If the search crawl has not been run, document ID locations might be out of date, resulting in relationships being inadvertently destroyed.	Referential Integrity
	Supplemental Marking terms	Supplemental Marking terms should never be renamed or deleted once they are in use. Doing so causes the Restricted Records functionality to behave incorrectly, assigning incorrect permissions to records.	Restricted Record Access

	Cross-site functionality constraint	Any cross-site functionality that is performed/accessed from a content site only works across web applications that share the same service account. This constraint is due to the permissions that SharePoint assigns to content databases. By default, a content database is restricted to the farm account and the service account(s) of any web application(s) associated with the content database.	Configuration
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Area Path	ID	Description	Workaround
	Compliance Suite reliance on SharePoint's document ID service	Several pieces of functionality in Compliance Suite rely on SharePoint's document ID service to discover the location of a document. The location returned by SharePoint for a given document ID is not updated until a search crawl takes place. Until the search index is updated, the View Record Location functionality may reflect an old location after using the Move To New Location or File To New Location functions.	CRM
	Uploading new records	Uploading new records from within the asset picker dialog (used in several places to browse in the location of a library, container, or record) is unsupported and throws a JavaScript error when trying to save the new record.	CRM

	There is a limitation when using the "Open with Explorer" functionality of a Record Library.	Though Microsoft allows this functionality, SharePoint does not trigger event receivers or prompt for metadata when files are uploaded via Windows Explorer. Therefore, this functionality is unsupported.	CRM
7466 7478 7518	Installing the language pack stops the user profile synchronization service.	When installing a language pack in a SharePoint 2013 farm with SP1 previously installed, the User Profile Synchronization service does not always properly restart. This leads to issues with editing managed metadata fields and moving records.	CRM
ID	Description	Workaround	Area Path
7516	Require that disposition workflow tasks must be run by users running in English.	Users must run workflows in English as an English user.	CRM
8481	Items are not being routed from the Drop off library to the destination folder.	Running Rebuild Filing Locations generally resolves this issue.	CRM
52980	Installer hangs on uninstall of Event Management and Email Management	Workaround: stop installer, then manually delete Email Management and Event Management service applications, restart installer.	CRM

Appendix: Features Supporting Localized Interface

The following table lists the Compliance Suite features that support a localized interface.

Component	Localization Support
Access Rules	No
Alerts	No
As Of Reporting	No
As Of Search Reports	No
Bulk Processing - Admin	No
Bulk Processing - UI (on toolbar)	Yes
Bulk Task Processing	No
Compliance Suite Toolbar	Yes
Configure Transfer Export	No
Configure Transfer Import	No
Cutoff Processing-->Due for Cutoff Review	No
Cutoff Processing-->Reverse Cutoff	No
Cutoff Processing-->Reviewed Cutoff Records	No
Cutoff Reviewed Search Reports (List)	No
Cutoff Reviews (List)	No
Cutoff Search Reports (List)	No
Declare Record	Yes
Disposition Tasks	No

Due for Vital Review	No
Email management	No
Enhanced Search (Admin)	No
Enhanced Search (UI)	Yes
Event Management	No
File Plan Builder	Yes
Files Tab on ribbon bar - Copy Record	Yes
Files Tab on ribbon bar - Move Record	Yes
Files Tab on ribbon bar - New Container	Yes
Files Tab on ribbon bar - New Non-Electronic Record	Yes
Files Tab on ribbon bar - New Non-Electronic Record-->New Non-Electronic Correspondence Record	Yes
Files Tab on ribbon bar - New Non-Electronic Record-->New Non-Electronic Record	Yes

Files Tab on ribbon bar - Record Locations	Yes
Files Tab on ribbon bar - Record Locations-->File to New Location	Yes
Files Tab on ribbon bar - Record Locations-->View Locations	Yes
Files Tab on ribbon bar - Record Versions	Yes
Files Tab on ribbon bar - Record Versions-->Upload Version	Yes

Files Tab on ribbon bar - Record Versions-->View Latest Version	Yes
Files Tab on ribbon bar - Record Versions-->View Versions	Yes
Filing Locations	No
GimmelSoft Column Access Control	No
Holds--> Add/Run Global Hold	Yes
Holds--> Manage Recurring Global Holds	Yes
Installer	No
List and library names, content types, site column descriptions or any other information created by Cs.	Yes
Metadata Editing - Record Container	Yes
Metadata Editing - Records	Yes
On-line help	No
Open Menu items	Yes
Period Definitions	Yes
Period Management Settings	No
Permission Reports	Yes
Record Relationship Administration (from Open Menu on Record)	Yes

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Related Records (List)	No
Relationship Types	No
Restricted Records Access	No
Reviewed Vital Records	No
Schema Mapping Editor	No
Schema Mappings	No
Transfers	No
View and Delete Scheduled Transfers	No
Vital Record Reports	No
Vital Record Reviewed Search Reports	No
Vital Record Reviews	No