Gimmal
Information Management for Everyone®

Release Notes

Compliance Suite

(Feature-Activated)
For SharePoint 2013/SharePoint 2016

Version 4.13.1

January 2019

Title: *Compliance Suite (Feature-Activated) V4.13.1 Release Notes*

If you have questions or comments about this publication, you can email TechnicalPublications@Gimmal.com. Be sure to identify the guide, version number, section, and page number to which you are referring. Your comments are welcomed and appreciated.

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Preface

Gimmal delivers market-leading content governance and compliant records solutions built on Microsoft® SharePoint®. Gimmal solutions drive user adoption and simplify information access by making information lifecycle management of content simple and transparent, ensuring consistent compliance and proactive litigation readiness enterprise-wide while lowering costs.

Gimmal products are a collection of SharePoint-native components that drive consistency in managing governance and compliance of information policies, metadata, and record routing rules to support your organization's long-term content or records management strategy. The products are easy to implement into a SharePoint environment because native features are extended from within SharePoint. User adoption is rapid because individuals are no longer required to work outside their trusted SharePoint environment to ensure control of enterprise content.

The Compliance Suite is a series of features that extend SharePoint's Records Center to give your organization a reliable and centralized repository for collaboration and records management that is compliant with both the Baseline and Classified standards of the Department of Defense (DoD) 5015.2 Records Management Program. Classified Records is a separate add-on module that requires Compliance Suite to operate.

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Release Announcement

Gimmal Compliance Suite 4.13.1 Release Is Now Available!

Gimmal is pleased to announce the General Availability of Compliance Suite (Cs) 4.13.1 release for SharePoint 2013/SharePoint 2016.

See the Compliance Suite product documentation for further information specific to the release. Licensed users can download the software from the [Gimmal Software Downloads site](). Product documentation is located at [http://docs.gimmal.com]().

System Requirements

This section describes the software and hardware necessary to install and run Gimmal Compliance Suite (Cs).

Software

Compliance Suite (Feature Activated) requires the following software:

- SharePoint Server 2013 (Standard or Enterprise Edition) with Service Pack 1, or
- SharePoint Server 2016 (Standard or Enterprise Edition) with Service Pack 1
- Microsoft .NET Framework 4.6 or higher installed [https://www.microsoft.com/en-us/download/details.aspx?id=48130]())
- One of the following authentication methods: NTLM, Kerberos, or ADFS
- The following Internet browsers:
  - Internet Explorer (IE) 11, or
  - Latest version of Microsoft Edge

Note

The File Plan Builder interface should minimally render at a resolution of 1280 x 1024.

New Installations

This version supports new installations on SharePoint 2013 and SharePoint 2016 if the software and hardware requirements listed in this section are met.

Upgrades to Cs 4.13.1

- For SharePoint 2013, Compliance Suite V4.13.1 can be upgraded from Compliance Suite 4.12.3 or 4.13.
- For SharePoint 2016, Compliance Suite V4.13.1 can be upgraded from Compliance Suite V4.11.2 or 4.13.

For more information, see the *Compliance Suite Upgrade Guide V4.13.1*.

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Interoperability

This version of the software is compatible with the latest versions of Gimmal software that are available for SharePoint 2013 and SharePoint 2016.

Hardware

Gimmal software products are deployed on an existing or new SharePoint environment leveraging existing or planned infrastructure investments. No additional hardware is required. A Microsoft TechNet article lists the minimum software requirements to install and run Microsoft SharePoint Server 2013.

Changes in this Release

Compliance Suite V4.13.1 includes the following changes:

- Updated the installer packaging and rebranded the Compliance Suite installation wizard. For more information, see the *Compliance Suite Installation Guide V4.13.1*.

Bug Fixes

The following fixes were made for Compliance Suite V4.13.1:

- Certain Roles were not being applied properly to the record containers. (For more information, reference Zendesk Support Ticket 18056.)
- Root Nodes published from File Plan Builder were not inheriting parent security as expected. (For more information, reference Zendesk Support Ticket 18199.)
- File Plan Builder Import - Principals with special characters were not being handled properly.
- Record Center Ribbon Configuration was not working as expected. (For more information, reference Zendesk Support Ticket 18021.)

Known Issues

The following table provides an overview of known issues in the 4.13.1 release of Compliance Suite:

ID	Description	Workaround	Area Path
	User receives the following error when performing an Import, due to a limitation with MVC and the number of items it will convert for incoming requests: *"The JSON request was too large to be deserialized."*	1. Access the File Plan Builder web.config file. 2. Edit the following key by increasing the value size: `<add key="aspnet:MaxJsonDeserializerMembers" value="100000" />` **Note**: If you do not find this key in the web.config file, you must add it manually to the 'appsettings' section of the file.	File Plan Builder
	When using Internet Explorer 11 to access File Plan Builder, the application interface does not display correctly.	Disable (turn off) the "Display Intranet sites in Compatibility View" option on the **Compatibility View Settings** dialog in Intranet Explorer 11.	File Plan Builder
	Using a system account may produce errors	Performing steps in the new Association Templates feature when logged in with a system account may produce undesired results. Use a non-system account with appropriate permissions to perform steps.	File Plan Builder
	File Plan Builder Installation	File Plan Builder must be installed on the same server as Central Administration.	File Plan Builder

ID	Description	Workaround	Area Path
	The Gimmal Compliance Suite - Restricted Records Cleanup Timer Job is disabled by default.	This job recalculates and reapplies permissions to all items where an access-constrained column is set. It must be run manually and only if users have been added or removed from security groups used in access rules. It is disabled by default for performance reasons.	Records Relationship
	Referential Integrity Synchronization Timer Job	The Gimmal Compliance Suite - Referential Integrity Synchronization Timer Job is disabled by default and must be run manually immediately after running a search crawl. It removes any relationships where at least one end of the relationship cannot be found by document ID. If the search crawl has not been run, document ID locations might be out of date, resulting in relationships being inadvertently destroyed.	Referential Integrity
	Supplemental Marking terms	Supplemental Marking terms should never be renamed or deleted once they are in use. Doing so causes the Restricted Records functionality to behave incorrectly, assigning incorrect permissions to records.	Restricted Record Access
	Cross-site functionality constraint	Any cross-site functionality that is performed/accessed from a content site only works across web applications that share the same service account. This constraint is due to the permissions that SharePoint assigns to content databases. By default, a content database is restricted to the farm account and the service account(s) of any web application(s) associated with the content database.	Configuration

ID	Description	Workaround	Area Path
	Compliance Suite reliance on SharePoint's document ID service	Several pieces of functionality in Compliance Suite rely on SharePoint's document ID service to discover the location of a document. The location returned by SharePoint for a given document ID is not updated until a search crawl takes place. Until the search index is updated, the View Record Location functionality may reflect an old location after using the Move To New Location or File To New Location functions.	CRM
	Uploading new records	Uploading new records from within the asset picker dialog (used in several places to browse in the location of a library, container, or record) is unsupported and throws a JavaScript error when trying to save the new record.	CRM
	There is a limitation when using the "Open with Explorer" functionality of a Record Library.	Though Microsoft allows this functionality, SharePoint does not trigger event receivers or prompt for metadata when files are uploaded via Windows Explorer. Therefore, this functionality is unsupported.	CRM
7466 7478 7518	Installing the language pack stops the user profile synchronization service.	When installing a language pack in a SharePoint 2013 farm with SP1 previously installed, the User Profile Synchronization service does not always properly restart. This leads to issues with editing managed metadata fields and moving records.	CRM

ID	Description	Workaround	Area Path
7516	Require that disposition workflow tasks must be run by users running in English.	Users must run workflows in English as an English user.	CRM
8481	Items are not being routed from the Drop off library to the destination folder.	Running **Rebuild Filing Locations** generally resolves this issue.	CRM

Appendix: Features Supporting Localized Interface

The following table lists the Compliance Suite features that support a localized interface.

Component	Localization Support
Access Rules	No
Alerts	No
As Of Reporting	No
As Of Search Reports	No
Bulk Processing - Admin	No
Bulk Processing - UI (on toolbar)	**Yes**
Bulk Task Processing	No
Compliance Suite Toolbar	**Yes**
Configure Transfer Export	No
Configure Transfer Import	No
Cutoff Processing-->Due for Cutoff Review	No
Cutoff Processing-->Reverse Cutoff	No
Cutoff Processing-->Reviewed Cutoff Records	No
Cutoff Reviewed Search Reports (List)	No
Cutoff Reviews (List)	No
Cutoff Search Reports (List)	No
Declare Record	**Yes**
Disposition Tasks	No
Due for Vital Review	No
Email management	No
Enhanced Search (Admin)	No
Enhanced Search (UI)	**Yes**
Event Management	No
File Plan Builder	**Yes**
Files Tab on ribbon bar - Copy Record	**Yes**
Files Tab on ribbon bar - Move Record	**Yes**
Files Tab on ribbon bar - New Container	**Yes**
Files Tab on ribbon bar - New Non-Electronic Record	**Yes**
Files Tab on ribbon bar - New Non-Electronic Record-->New Non-Electronic Correspondence Record	**Yes**
Files Tab on ribbon bar - New Non-Electronic Record-->New Non-Electronic Record	**Yes**

Files Tab on ribbon bar - Record Locations	**Yes**
Files Tab on ribbon bar - Record Locations-->File to New Location	**Yes**
Files Tab on ribbon bar - Record Locations-->View Locations	**Yes**
Files Tab on ribbon bar - Record Versions	**Yes**
Files Tab on ribbon bar - Record Versions-->Upload Version	**Yes**
Files Tab on ribbon bar - Record Versions-->View Latest Version	**Yes**
Files Tab on ribbon bar - Record Versions-->View Versions	**Yes**
Filing Locations	No
GimmalSoft Column Access Control	No
Holds--> Add/Run Global Hold	**Yes**
Holds--> Manage Recurring Global Holds	**Yes**
Installer	No
List and library names, content types, site column descriptions or any other information created by Cs.	**Yes**
Metadata Editing - Record Container	**Yes**
Metadata Editing - Records	**Yes**
On-line help	No
Open Menu items	**Yes**
Period Definitions	**Yes**
Period Management Settings	No
Permission Reports	**Yes**
Record Relationship Administration (from Open Menu on Record)	**Yes**
Related Records (List)	No
Relationship Types	No
Restricted Records Access	No
Reviewed Vital Records	No
Schema Mapping Editor	No
Schema Mappings	No
Transfers	No
View and Delete Scheduled Transfers	No
Vital Record Reports	No

Vital Record Reviewed Search Reports	No
Vital Record Reviews	No